## **Conservation of Energy & Poynting Theorem**

• From Maxwell's equations we have

$$\nabla \times \vec{E} = -\vec{M}_i - \frac{\partial \vec{B}}{\partial t} = -\vec{M}_i - \frac{\partial}{\partial t}\mu \vec{H} = -\vec{M}_i - \vec{M}_d$$
$$\nabla \times \vec{H} = \vec{J}_i + \sigma \vec{E} + \frac{\partial \vec{D}}{\partial t} = \vec{J}_i + \sigma \vec{E} + \frac{\partial}{\partial t}\varepsilon \vec{E} = \vec{J}_i + \vec{J}_c + \vec{J}_d$$

• From above it can be shown (HW)

$$\nabla \cdot \vec{E} \times \vec{H} + \vec{H} \cdot \left(\vec{M}_i + \vec{M}_d\right) + \vec{E} \cdot \left(\vec{J}_i + \vec{J}_c + \vec{J}_d\right) = 0 \text{ or}$$
$$\oiint_{S} \vec{E} \times \vec{H} \cdot d\vec{s} + \iiint_{v} \vec{H} \cdot \left(\vec{M}_i + \vec{M}_d\right) dv + \iiint_{v} \vec{E} \cdot \left(\vec{J}_i + \vec{J}_c + \vec{J}_d\right) dv = 0$$

• We rewrite the above according to

$$\oint_{S} \vec{E} \times \vec{H} \cdot d\vec{s} + \iiint_{v} \left( \vec{H} \cdot \vec{M}_{i} + \vec{E} \cdot \vec{J}_{i} \right) dv + \iiint_{v} \left( \vec{H} \cdot \vec{M}_{d} \right) dv + \iiint_{v} \left( \vec{E} \cdot \vec{J}_{d} \right) dv + \iiint_{v} \vec{E} \cdot \vec{J}_{c} dv = 0$$

• let us define  $\vec{H} \cdot \vec{M}_i + \vec{E} \cdot \vec{J}_i = -\rho_{supp}$   $\rho_{supp} \equiv$ Supplied power density [Watt/m<sup>3</sup>]  $\iiint_v (\vec{H} \cdot \vec{M}_i + \vec{E} \cdot \vec{J}_i) dv = -\iiint_v \rho_{supp} dv = -P_{supp}$  [Watt]

• 
$$\iiint_{v} \vec{H} \cdot \vec{M}_{d} \, dv = \iiint_{v} \vec{H} \cdot \frac{\partial}{\partial t} \vec{B} \, dv = \iiint_{v} \vec{H} \cdot \frac{\partial}{\partial t} \mu \vec{H} \, dv =$$
$$\iiint_{v} \frac{1}{2} \frac{\partial}{\partial t} \mu \, \vec{H} \cdot \vec{H} dv = \frac{\partial}{\partial t} \underbrace{\iiint_{v} \frac{1}{2} \mu |\vec{H}|^{2} \, dv}_{W_{m}} = \frac{\partial}{\partial t} W_{m}$$
$$W_{m} : \left[ \frac{H}{m} \cdot \frac{A^{2}}{m^{2}} m^{3} = HA^{2} = \frac{V \cdot s}{A}A^{2} = Watt \cdot s = J \right]$$
$$\overset{\partial}{} W_{m} = Pate of charge of stand means the energy of standard means the energy of the standard means the standard means$$

 $\frac{\partial}{\partial t}W_m \equiv$ **Rate of change of stored magnetic energy**: [J/s = Watt]

• 
$$\iiint_{v} \vec{E} \cdot \vec{J}_{d} \, dv = \iiint_{v} \vec{E} \cdot \frac{\partial}{\partial t} \varepsilon \, \vec{E} \, dv = \iiint_{v} \frac{1}{2} \frac{\partial}{\partial t} \varepsilon \left| \vec{E} \right|^{2} \, dv = \frac{\partial}{\partial t} \underbrace{\iiint_{v} \frac{1}{2} \varepsilon \left| \vec{E} \right|^{2} \, dv}_{W_{e}} = \frac{\partial}{\partial t} W_{e}$$

$$W_e : \left[ \frac{\mathbf{F}}{\mathbf{m}} \cdot \frac{\mathbf{V}^2}{\mathbf{m}^2} \mathbf{m}^3 = \mathbf{J} \right]$$
  
$$\frac{\partial}{\partial t} W_e = \mathbf{Rate of change of stored electric energy [J/s = Watt]}$$

• 
$$\iiint_{v} \vec{E} \cdot \vec{J}_{c} \, dv = \iiint_{v} \vec{E} \cdot \sigma \vec{E} \, dv = \iiint_{v} \sigma |\vec{E}|^{2} \, dv = P_{\text{disp}}$$
$$P_{\text{disp}} = \textbf{Dissipated power (ohmic loss):} \left[ \frac{1}{\Omega \cdot m} \cdot \frac{V^{2}}{m^{2}} \cdot m^{3} = \frac{V^{2}}{\Omega} = \text{Watt} \right]$$

•  $\oint \vec{E} \times \vec{H} \cdot d\vec{s} = P_{\text{exit}}$ 

 $P_{\text{exit}} \equiv \text{Power exiting the volume enclosed by surface } S : \left[\frac{A}{m} \cdot \frac{V}{m}m^2 = \text{Watt}\right]$ 

• We can rewrite Poynting Equation

$$\iint_{S} \vec{E} \times \vec{H} \cdot d\vec{s} + \iiint_{V} (\vec{H} \cdot \vec{M}_{d}) dv + \iiint_{V} (\vec{E} \cdot \vec{J}_{d}) dv + \iiint_{V} \vec{E} \cdot \vec{J}_{c} dv = -\iiint_{V} (\vec{H} \cdot \vec{M}_{i} + \vec{E} \cdot \vec{J}_{i}) dv$$

$$P_{\text{exit}} + \frac{\partial}{\partial t} W_{m} + \frac{\partial}{\partial t} W_{e} + P_{\text{disp}} = P_{\text{sup}} \quad \text{This is Conservation of Energy}$$

## Time Harmonic or Sinusoidal Steady State Electromagnetic Fields

• In time harmonic picture the instantaneous field  $\vec{E}(x, y, z, t)$  and the complex spatial field  $\vec{E}(x, y, z)$  are related by  $E(x, y, z, t) = \operatorname{Re}\left[\vec{E}(x, y, z)e^{j\omega t}\right]$  $H(x, y, z, t) = \operatorname{Re}\left[\vec{H}(x, y, z)e^{j\omega t}\right]$ 

• **Remark 1:** Fields can also be described as **imaginary parts**  $E(x, y, z, t) = \text{Im}[E(x, y, z)e^{j\omega t}]$ 

• Remark 2: Most engineering books (not all) use time dependency of  $e^{j\omega t}$ , most physics books (not all) use  $e^{-i\omega t}$ ,  $i \leftrightarrow -j$ 

• Remark 3: We will see that for  $e^{j\omega t}$  the wave  $e^{-jkz}e^{j\omega t}$  and for  $e^{-i\omega t}$  the wave  $e^{ikz}e^{-i\omega t}$  are positively traveling waves



• With help of  $e^{j\omega t}$  time dependency  $\frac{\partial}{\partial t} \Leftrightarrow j\omega$ 

• This is similar to **circuit analysis** for which  $\frac{\partial}{\partial t} \leftrightarrow s = \sigma + j\omega \leftrightarrow j\omega$ 

• Ex: 
$$\nabla \times \vec{E} = -\frac{\partial B}{\partial t}$$
  
 $\nabla \times \vec{E}(\vec{r})e^{j\omega t} = -\frac{\partial}{\partial t}\mu\vec{H}(\vec{r})e^{j\omega t}$   
 $e^{j\omega t} \nabla \times \vec{E}(\vec{r}) = -\mu j\omega \vec{H}(\vec{r})e^{j\omega t} \Rightarrow \nabla \times \vec{E}(x, y, z) = -j\omega\mu \vec{H}(x, y, z)$ 

• Or in integral form

$$\oint_{l} \vec{E} \cdot d\vec{l} = -j\omega \iint_{S} \mu \vec{H} \cdot d\vec{s}$$

#### Poynting theorem for time harmonic fields

• 
$$\nabla \times \vec{E} = -\vec{M}_i - j\omega\mu \vec{H}$$
 and  $\nabla \times \vec{H} = \vec{J}_i + j\omega\varepsilon \vec{E} + \sigma \vec{E} \Rightarrow$  (1)

• 
$$\nabla \times \vec{H}^* = \vec{J}_i^* - j\omega\varepsilon \ \vec{E}^* + \sigma \ \vec{E}^*$$
 (2)

• From (1) and (2) we have (HW)  

$$-\frac{1}{2} \left( \vec{H}^* \cdot \vec{M}_i + \vec{E} \cdot \vec{J}_i^* \right) = \nabla \cdot \frac{1}{2} \vec{E} \times \vec{H}^* + \frac{1}{2} \sigma \left| \vec{E} \right|^2 + j \omega \left[ \frac{1}{2} \mu \left| \vec{H} \right|^2 - \frac{1}{2} \varepsilon \left| \vec{E}^2 \right| \right]$$
Or  

$$-\frac{1}{2} \iiint \left( \vec{H}^* \cdot \vec{M}_i + \vec{E} \cdot \vec{J}_i^* \right) dv = \oiint \frac{1}{2} \vec{E} \times \vec{H}^* \cdot d\vec{s} + \iiint \frac{1}{2} \sigma \left| \vec{E} \right|^2 dv + j \omega \left[ \iiint \frac{1}{2} \mu \left| \vec{H} \right|^2 dv - \iiint \frac{1}{2} \varepsilon \left| \vec{E} \right|^2 dv \right]$$

• If  $\varepsilon$  and  $\mu$  are complex ( $\varepsilon \to \varepsilon' - j\varepsilon''$  and  $\mu \to \mu' - j\mu''$ ) then their imaginary parts contribution to the dissipated power must be added to  $P_d = \iiint \frac{1}{2} \sigma |\vec{E}|^2$ . In other words the term  $j\omega \left[ \iiint \frac{1}{2} \mu |\vec{H}|^2 dv - \iiint \frac{1}{2} \varepsilon |\vec{E}|^2 dv \right]$  is considered as reactive (purely imaginary).

## **Poynting Vector**

• Instantaneous Poynting Vector is defined as  $\vec{S} = \vec{E}(\vec{r},t) \times \vec{\mathcal{H}}(\vec{r},t)$ 

• Note: in the followings I use the scripted letters  $\mathcal{E}, \vec{\mathcal{H}},...$  to designate instantaneous fields, i.e.  $\mathcal{E}(\vec{r},t)$  and  $\vec{\mathcal{H}}(\vec{r},t)$ , and regular letters  $\vec{E}(\vec{r}), \vec{H}(\vec{r})$ , to designate the time harmonic fields, i.e., only the spatial dependency

- We are to write the  $S(\vec{r},t)$  in terms of time harmonic fields  $\vec{E}(\vec{r}), \vec{H}(\vec{r})$  $\vec{S}(\vec{r},t) = \operatorname{Re}\left[\vec{E}(\vec{r})e^{j\omega t}\right] \times \operatorname{Re}\left[\vec{H}(\vec{r},t)e^{j\omega t}\right]$
- Note that:  $\operatorname{Re}\left[\vec{A}\right] \times \operatorname{Re}\left[\vec{B}\right] \neq \operatorname{Re}\left[\vec{A} \times \vec{B}\right]$

• 
$$\vec{S}(\vec{r},t) = \vec{E}(\vec{r},t) \times \vec{\mathcal{H}}(\vec{r},t) = \left[\frac{\vec{E}e^{j\omega t} + \vec{E}^*e^{-j\omega t}}{2}\right] \times \left[\frac{\vec{H}e^{j\omega t} + \vec{H}^*e^{-j\omega t}}{2}\right] \Rightarrow \vec{S}(\vec{r},t) = \vec{E}(\vec{r},t) \times \vec{\mathcal{H}}(\vec{r},t) = \frac{1}{2} \operatorname{Re}\left[\vec{E} \times \vec{H}^* + \vec{E} \times \vec{H}e^{j2\omega t}\right]$$

 $\bullet$  Now let's calculate the time average of  ${\cal S}$ 

$$\vec{S}_{\text{ave}} = \left\langle \vec{S} \right\rangle = \frac{1}{T} \int_{0}^{T} \vec{S} \, dt$$

then

$$\langle \vec{S} \rangle = \frac{1}{T} \int_{0}^{T} \frac{1}{2} \operatorname{Re} \left[ \vec{E} \times \vec{H}^{*} + \vec{E} \times \vec{H} e^{j2\omega t} \right] dt$$

$$= \frac{1}{2} \operatorname{Re} \left[ \frac{1}{T} \int_{0}^{T} \vec{E} \times \vec{H}^{*} dt \right] + \frac{1}{2} \operatorname{Re} \left[ \frac{1}{T} \int_{0}^{T} \vec{E} \times \vec{H} e^{j2\omega t} dt \right]$$

$$= \frac{1}{2} \operatorname{Re} \left[ \vec{E} \times \vec{H}^{*} \right] + 0 \Longrightarrow$$

$$\vec{S}_{\text{ave}} = \langle \vec{S} \rangle = \frac{1}{2} \operatorname{Re} \left[ \vec{E} \times \vec{H}^{*} \right]$$

• Whereas, the **instantaneous Poynting vector** in terms of the time-harmonic fields is given by:

$$\vec{S}(\vec{r},t) = \frac{1}{2} \operatorname{Re}\left[\vec{E} \times \vec{H}^*\right] + \frac{1}{2} \operatorname{Re}\left[\vec{E} \times \vec{H}e^{j2\omega t}\right] = \left\langle \vec{S} \right\rangle + \frac{1}{2} \operatorname{Re}\left[\vec{E} \times \vec{H}e^{2j\omega t}\right]$$

#### A remark on time average of energy densities

• Recall we defined **magnetic energy as**  $W_m(t) = \frac{1}{2} \iiint_v \mu |\bar{\mathcal{H}}(\bar{r},t)|^2 dv$ 

• Now, let's calculate the **time average of this quantity i.e.**, 
$$\langle W_m \rangle$$
  
 $W_m(t) = \frac{1}{2} \iiint_v \mu \vec{\mathcal{H}}(\vec{r},t) \cdot \vec{\mathcal{H}}(\vec{r},t) dv$  but  $\vec{\mathcal{H}}(\vec{r},t) = \operatorname{Re}\left[\vec{H}(\vec{r})e^{j\omega t}\right]$  then  
 $W_m(t) = \frac{1}{2} \iiint_v \mu \operatorname{Re}\left[\vec{H}e^{j\omega t}\right] \cdot \operatorname{Re}\left[\vec{H}e^{j\omega t}\right] dv$   
 $W_m = \frac{1}{2} \iiint_v \mu \frac{\vec{H}e^{j\omega t} + \vec{H}^*e^{-j\omega t}}{2} \cdot \frac{\vec{H}e^{j\omega t} + \vec{H}^*e^{-j\omega t}}{2} dv$   
 $= \frac{1}{2} \cdot \frac{\mu}{4} \iiint_v \{\vec{H} \cdot \vec{H}e^{2j\omega t} + (H \cdot He^{2j\omega t})^* + \vec{H} \cdot \vec{H}^* + (\vec{H} \cdot \vec{H}^*)^*\} dv$   
 $= \frac{1}{4} \iiint_v \mu \operatorname{Re}\left[\vec{H} \cdot \vec{H}e^{2j\omega t}\right] + |\vec{H}|^2 \mu dv$ 

• The time average is given by

$$\langle W_m \rangle = \frac{1}{4} \frac{1}{T} \iiint_v \int_0^T \mu \operatorname{Re}\left[ \vec{H} \cdot \vec{H} e^{2j\omega t} \right] dt dv + \frac{1}{4} \iiint_v \int_0^T \mu \left| \vec{H} \right|^2 dv dt \Longrightarrow$$

$$\langle W_m \rangle = \frac{1}{4} \iiint_v \mu \left| \vec{H} \right|^2 dv .$$

• Similarly  $\langle W_m \rangle = \frac{1}{4} \iiint \varepsilon \left| \vec{E} \right|^2 dv$ 

## **Lorentz-Lorenz Dispersion**

#### • We model the oscillating electron and nucleus as a mass and spring

• This electron oscillator model is often called Lorentz model. It is **not really a model for atom as such**, but the way that an atom responds to a **perturbation**. At the time

when Lorentz formulated the model, **it was not known that nuclei have massive mass** as compared to the electrons.

• The Lorentz assumption was that in **absence of applied electric** field the **centroids of positive and negative charges coincide**, but when a **field is applied**, the electrons will experience a **Lorentz force** and will be **displaced form their equilibrium position**.

• He then wrote "the displacement immediately give raise to a new force by which the particle is pulled back toward its original position, and which we may therefore appropriately distinguish by the name of elastic force."



• Once field is applied the electron moves, but we assume nucleus remains stationary



• Spring has a restoring force  $F_{\text{hook}} = -S x$ S =Spring tension coefficient

• There is also **friction within the system:**  $F_{friction} = -D\frac{dx}{dt} = -Dv$ 

#### $D \equiv$ Friction coefficient

• The friction (damping) is the result of **electron interacting** with other atoms, electrons, lattice potential, defects, vibrational mode of the material, etc.

• Equation of Motion:  

$$m\frac{d^{2}x}{dt^{2}} = \sum_{i} F_{i} = F_{ext} + F_{friction} + F_{hook}$$

$$F_{ext} = External (applied) \text{ force} = QE = QE_{0}e^{j\omega t} \text{ (assuming time harmonic fields)}$$

$$F_{hook} = -Sx \text{ (spring or hook force)}$$

$$F_{friction} = -D\frac{dx}{dt} \text{ (friction force) then}$$
•  $m\frac{d^{2}x}{dt^{2}} + D\frac{dx}{dt} + Sx = QE_{0}e^{j\omega t} \Rightarrow \frac{d^{2}x}{dt^{2}} + \frac{D}{m}\frac{dx}{dt} + \frac{S}{m}x = \frac{QE_{0}}{m}e^{j\omega t}$ 

• Let's define  

$$\gamma = \frac{D}{m} \qquad \& \qquad \omega_0^2 = \frac{S}{m}$$

$$\frac{d^2 x}{dt^2} + \gamma \frac{dx}{dt} + \omega_0^2 x = \frac{QE_0}{m} e^{j\omega t}$$
(1)
$$\frac{QE_0}{m} \text{ is } \frac{\text{force}}{\text{mass}} : \left[\frac{N}{\text{kg}} = \frac{m}{\text{s}^2} = \text{acceleration}\right]$$

$$\gamma : \left[\frac{1}{s} = \text{Hertz}\right] \qquad \qquad \omega_0 : \left[\frac{1}{s} = \text{Hertz}\right]$$

•  $\frac{d^2x}{dt^2} + \gamma \frac{dx}{dt} + \omega_0^2 x = \frac{QE_0}{m} e^{j\omega t}$  is a second order, linear, non-homogeneous differential equation

• Solution to above consist of two parts: complementary  $(x_c)$  and particular  $(x_p)$  solutions

• Complementary solution, which is the transient response, is the solution of homogeneous differential equation (i.e. the forcing term  $\frac{QE_0}{m}e^{j\omega t}=0$ )

• Complementary solution (transient response)  $\rightarrow 0$  as  $t \rightarrow \infty$ 

• Particular solution, which is the steady state solution, is of interest to us.

• Let us assume time-harmonic solutions such as  $x_p = x_0 e^{j\omega t}$  and substitute this in our differential equation  $\Rightarrow$ 

$$-x_0\omega^2 + jx_0\gamma\omega + x_0\omega_0^2 = \frac{QE_0}{m} \Longrightarrow$$
$$x_0 = \frac{QE_0/m}{\omega_0^2 - \omega^2 + j\gamma\omega} \text{ with } \gamma = D/m \text{ and } \omega_0^2 = S/m$$

#### **Calculating Permittivity & Susceptibility**

• Recall  $x = x_0 e^{j\omega t} = \frac{QE_0 e^{j\omega t} / m}{\omega_0^2 - \omega^2 + j\gamma\omega} = \frac{QE / m}{\omega_0^2 - \omega^2 + j\gamma\omega}$ , where  $E = E_0 e^{j\omega t}$ 

I) Assume that **dipoles are identical** 

II) Assume no coupling between dipoles

III) There are N dipoles per unit volume. In other words, N is the number of dipoles per unit volume.

• Polarization P(t) is given by P(t) = NQx where Q is charge associated with dipole [C]. NQx has dimension of:  $\left[\frac{1}{m^3} \cdot C \cdot m = \frac{C}{m^2}\right]$ 

• Using 
$$P(t) = QNx$$
 we have  $P(t) = \frac{Q^2 NE / m}{\omega_0^2 - \omega^2 + j\gamma\omega} \Rightarrow$ 

• We calculate the ratio 
$$\frac{P}{E} = \frac{Q^2 N/m}{\omega_0^2 - \omega^2 + j\gamma\omega}$$

• Recall 
$$P = \varepsilon_0 \chi_e E \Rightarrow \chi_e = \frac{P}{\varepsilon_0 E_e} \Rightarrow$$
  
 $\chi_e = \frac{Q^2 N / m \varepsilon_0}{\omega_0^2 - \omega^2 + j \gamma \omega}$ 

• We define 
$$\frac{Q^2 N}{m\varepsilon_0} = \omega_p^2$$
 where  $\omega_p^2$  has the dimension of:  $\left[\frac{1}{s^2}\right]$ 

Then

$$\chi_e = \frac{\omega_p^2}{\omega_0^2 - \omega^2 + j\gamma\omega} \qquad \Rightarrow \qquad \varepsilon_r = 1 + \chi_e = 1 + \frac{\omega_p^2}{\omega_0^2 - \omega^2 + j\gamma\omega}$$

• Compare  $\varepsilon_r$  above with **Jackson (3<sup>rd</sup> Edition)** Equation 107

$$\frac{\varepsilon}{\varepsilon_0} = 1 + \frac{\omega_p^2}{\omega_0^2 - \omega^2 - i\gamma\omega}$$

• Now, suppose there are N molecules per unit volume and each molecule has Z electron, and there are  $f_i$  electrons per molecule that have the binding frequency

(resonance frequency)  $\omega_i$  and damping constant  $\gamma_i$  then

$$\varepsilon_r = 1 + \frac{Q^2 N}{m\varepsilon_0} \sum \frac{f_i}{\omega_i^2 - \omega^2 + j\gamma_i \omega}, \text{ where}$$
  
$$f_i = \text{Oscillator strength and } \sum f_i = Z$$

• Real and imaginary parts of  $\varepsilon_r (\varepsilon_r = \varepsilon'_r - j\varepsilon''_r)$  are given by

$$\operatorname{Re}[\varepsilon_{r}] = \varepsilon_{r}' = \frac{\omega_{p}^{2}(\omega_{0}^{2} - \omega^{2})}{(\omega_{0}^{2} - \omega^{2})^{2} + (\omega\gamma)^{2}} + 1$$
$$\operatorname{Im}[\varepsilon_{r}] = \varepsilon_{r}'' = \frac{\omega_{p}\omega\gamma}{(\omega_{0}^{2} - \omega^{2})^{2} + (\omega\gamma)^{2}}$$

• Recall that the **displacement of electrons subject to the force**  $QE_0e^{j\omega t}$  was given by  $x = x_0e^{j\omega t} = \frac{QE_0e^{j\omega t}/m}{\omega_0^2 - \omega^2 + j\gamma\omega}$ . Note that the **displacement of electrons from** 

equilibrium is sinusoidal with time at the frequency of the source

• If there is **no damping** (no friction in our mechanical model), i.e.,  $D = 0 \Rightarrow \gamma = 0$  then

$$x = \frac{QE_0 / m}{\omega_0^2 - \omega^2} e^{j\omega t}, \text{ and } \varepsilon_r = \frac{\varepsilon}{\varepsilon_0} = 1 + \frac{\omega_p^2}{\omega_0^2 - \omega^2}$$
(1)

• Note as  $\omega \to \omega_0, x \to \infty$ . The frequency  $\omega = \omega_0$  is called the resonance of the system. This model predicts a catastrophic response at  $\omega = \omega_0$ 

- Note that if there is no damping ( $\gamma = 0$ ),  $\varepsilon_r = \varepsilon'_r = 1 + \frac{\omega_p^2}{\omega_0^2 \omega^2}$  and  $\varepsilon''_r = 0$ .
- If resonance frequency is also zero ( $\omega_0 = 0$ , the case of free charges), then

$$\varepsilon_r = \varepsilon'_r = 1 - \frac{\omega_p^2}{\omega^2}$$
, which is **negative** for  $\omega_p > \omega$ .

• While above considerations do not predict losses in the case of free charges ( $\omega_0 = 0$ ), there is in fact conduction losses associated with the free charges. Recall the discussion of static conductivity and its origin.

• When **damping is present**, the resonance frequency is the **root of the characteristic** equation of the homogeneous differential equation  $\frac{d^2x}{dt^2} + \gamma \frac{dx}{dt} + \omega_0^2 x = 0$ , for real frequencies.

• **Resonance frequency** is then given by  $\omega_r = \sqrt{\omega_0^2 - (\gamma/2)^2} = \sqrt{\omega_0^2 - \alpha^2}$  where  $\alpha = \frac{\gamma}{2} = \frac{1}{2} \frac{D}{m}$  and  $\omega_0^2 > \alpha^2$  (case of underdamped) Note: if  $\gamma = 0 \Rightarrow \alpha = 0 \Rightarrow \omega_r = \omega_0$ 

#### **Wave Equation**

• In the following the field quantities are instantaneous

$$\nabla \times \bar{\mathcal{E}} = -\frac{\partial \mathcal{B}}{\partial t} - \bar{\mathcal{M}}_i = -\mu \frac{\partial}{\partial t} \bar{\mathcal{H}} - \bar{\mathcal{M}}_i \tag{1}$$

$$\nabla \times \vec{\mathcal{H}} = \vec{\mathcal{I}}_i + \frac{\partial}{\partial t}\vec{\mathcal{D}} + \vec{\mathcal{I}}_c = \vec{\mathcal{I}}_i + \varepsilon \frac{\partial \vec{\mathcal{E}}}{\partial t} + \sigma_s \vec{\mathcal{E}}$$
(2)

From (1) we have 
$$\nabla \times \nabla \times \vec{\mathcal{E}} = -\nabla \times \left(\mu \frac{\partial}{\partial t} \vec{\mathcal{H}}\right) - \nabla \times \vec{\mathcal{M}}_i$$
 (3)

From (2) we have  $\nabla \times \nabla \times \vec{\mathcal{H}} = \nabla \times \vec{\mathcal{I}}_i + \nabla \times \varepsilon \frac{\partial}{\partial t} \vec{\mathcal{E}} + \nabla \times \sigma_s \vec{\mathcal{E}}$ (4)

• Note that  $\nabla \times \nabla \times \vec{\mathcal{A}} = \nabla (\nabla \cdot \vec{\mathcal{A}}) - \nabla^2 \vec{\mathcal{A}}$  where  $\nabla^2 \vec{\mathcal{A}} = \nabla^2 \mathcal{A}_x \hat{a}_x + \nabla^2 \mathcal{A}_y \hat{a}_y + \nabla^2 \mathcal{A}_z \hat{a}_z$  and  $\nabla^2 \mathcal{A}_x = \nabla \cdot (\nabla \mathcal{A}_x)$ . Laplacian is the divergence of gradient

• Then (3) can be written as

$$\nabla \left(\nabla \cdot \vec{\mathcal{E}}\right) - \nabla^2 \vec{\mathcal{E}} = -\nabla \times \mu \left(\frac{\partial}{\partial t} \vec{\mathcal{H}}\right) - \nabla \times \vec{\mathcal{M}}_i$$
(5)

• Suppose that medium is **magnetically homogenous** ( $\mu$  is independent of  $\vec{r}$ ) then  $\nabla \times \mu \left(\frac{\partial}{\partial t} \vec{\mathcal{H}}\right) = \mu \frac{\partial}{\partial t} \nabla \times \vec{\mathcal{H}}$ 

• Use Ampere Law [Eq. (2)] for  $\nabla \times \overline{\mathcal{H}}$  in Eq. (5) We have

$$\nabla \left(\nabla \cdot \vec{E}\right) - \nabla^2 \vec{E} = -\mu \frac{\partial}{\partial t} \left[ \vec{J}_i + \varepsilon \frac{\partial \vec{E}}{\partial t} + \sigma_s \vec{E} \right] - \nabla \times \vec{\mathcal{M}}_i$$

Or

$$\nabla^{2}\vec{\mathcal{E}} = \nabla\left(\nabla\cdot\vec{\mathcal{E}}\right) + \mu\frac{\partial}{\partial t}\vec{\mathcal{I}}_{i} + \mu\varepsilon\frac{\partial^{2}}{\partial t^{2}}\vec{\mathcal{E}} + \mu\sigma_{s}\frac{\partial}{\partial t}\vec{\mathcal{E}} + \nabla\times\vec{\mathcal{M}}_{i}$$

• From Gauss Law recall  $\nabla \cdot \vec{\mathcal{E}} = \rho_{ev} / \varepsilon$  then

#### Wave equation for electric field:

$$\nabla^{2}\vec{E} = \mu \frac{\partial}{\partial t}\vec{J}_{i} + \nabla \times \vec{M}_{i} + \varepsilon \mu \frac{\partial^{2}}{\partial t^{2}}\vec{E} + \mu \sigma_{s} \frac{\partial}{\partial t}\vec{E} + \nabla \left(\frac{\rho_{ev}}{\varepsilon}\right)$$
(6)

• Wave equation for magnetic field:

$$\nabla^{2}\vec{\mathcal{H}} = \varepsilon \frac{\partial}{\partial t}\vec{\mathcal{M}}_{i} + \sigma_{s}\vec{\mathcal{M}}_{i} - \nabla \times \vec{\mathcal{I}}_{i} + \varepsilon \mu \frac{\partial^{2}}{\partial t^{2}}\vec{\mathcal{H}} + \mu \sigma \frac{\partial \vec{\mathcal{H}}}{\partial t} + \nabla \left(\frac{\rho_{mv}}{\mu}\right)$$
(1)

• Time harmonic wave equations:

$$\nabla^{2}\vec{E} = j\omega\mu\vec{J}_{i} + \nabla \times \vec{M}_{i} - \omega^{2}\varepsilon\mu\vec{E} + j\omega\mu\sigma_{s}\vec{E} + \nabla\left(\frac{\rho_{ev}}{\varepsilon}\right)$$
(2)

$$\nabla^2 \vec{H} = j \omega \varepsilon \vec{M}_i + \sigma_s \vec{M}_i - \nabla \times \vec{J}_i - \omega^2 \varepsilon \mu \vec{H} + j \omega \mu \sigma_s \vec{H} + \nabla \left(\frac{\rho_{\rm mv}}{\mu}\right)$$
(3)

• For source free region  $\overline{\mathcal{M}}_i = \overline{\mathcal{I}}_i = \rho_{ev} = 0$  we have [see Eq. (5-last page)]

$$\nabla^2 \bar{\mathcal{E}} = \mu \varepsilon \frac{\partial^2}{\partial t^2} \bar{\mathcal{E}} + \mu \sigma_s \frac{\partial \bar{\mathcal{E}}}{\partial t}$$
(4)

• If conductivity is also zero ( $\sigma = \sigma_s = 0$ ) then

$$\nabla^2 \vec{\mathcal{E}} = \mu \varepsilon \frac{\partial^2}{\partial t^2} \vec{\mathcal{E}}$$

• In the case of **time harmonic fields for source free but lossy medium [Eq. (4)]**, we have

$$\nabla^{2}\vec{E} = -\mu\varepsilon\omega^{2}\vec{E} + j\omega\mu\sigma_{s}\vec{E} = -\mu(\varepsilon' - j\varepsilon'')\omega^{2}\vec{E} + j\omega\mu\sigma_{s}\vec{E} = [-\mu\varepsilon'\omega^{2} + j\omega\mu(\sigma_{s} + \omega\varepsilon'')]\vec{E} = [-\mu\varepsilon'\omega^{2} + j\omega\mu\sigma_{e}]\vec{E}$$
  
where  $\sigma_{s} + \omega\varepsilon'' = \sigma_{s} + \sigma_{a} = \sigma_{e}$  is the effective conductivity. (6)

• **Define:**  $\gamma^2 = (\alpha + j\beta)^2 = -\mu\varepsilon'\omega^2 + j\omega\mu\sigma_e$  with  $\alpha$  and  $\beta$  designating the real and imaginary parts of the  $\gamma$ ,  $\gamma = \alpha + j\beta$ , where

 $\alpha = \text{Attenuation constant [Np/m]}$   $\beta = \text{Phase constant [rad/m]}$   $\gamma = \text{Propagation constant [1/m]}$ then  $\nabla^2 \vec{E} = [-\mu \varepsilon' \omega^2 + j \omega \mu \sigma_e] \vec{E} \implies \nabla^2 \vec{E} = \gamma^2 \vec{E}$ 

• For lossless case ( $\sigma_e = 0$ ) from Eq. (6) we have

$$\nabla^2 \vec{E} = -\omega^2 \mu \varepsilon' \, \vec{E}$$

• Note  $\gamma^2 = (\alpha + j\beta)^2 = j\omega\mu\sigma_e - \mu\varepsilon'\omega^2 = -\mu\varepsilon'\omega^2$  for lossless case. Then  $\gamma = \alpha + j\beta = \sqrt{-\mu\varepsilon'\omega^2} = j\omega\sqrt{\mu\varepsilon'} \rightarrow \alpha = 0$  and  $\beta = \omega\sqrt{\mu\varepsilon'}$  in the case of lossless medium.

• Then 
$$\nabla^2 \vec{E} = -\omega^2 \mu \varepsilon' \vec{E} = -\beta^2 \vec{E}$$
 where  
 $\beta^2 = \omega^2 \mu \varepsilon' = \omega^2 \mu_0 \varepsilon_0 \mu_r \varepsilon'_r = \frac{\omega^2}{c} \mu_r \varepsilon'_r = \frac{\omega^2}{c^2} (\sqrt{\mu_r \varepsilon'_r})^2 = \frac{\omega^2}{c^2} n'^2$ , or  $\beta = \frac{\omega}{c} n'$ 

• Wave equation for scalar components of 
$$\vec{E}$$
  
 $\nabla^{2}\vec{E} = -\beta^{2}\vec{E} \Rightarrow \nabla^{2}E_{x}\hat{a}_{x} + \nabla^{2}E_{y}\hat{a}_{y} + \nabla^{2}E_{z}\hat{a}_{z} = -\beta^{2}\left[E_{x}\hat{a}_{x} + E_{y}\hat{a}_{y} + E_{z}\hat{a}_{z}\right] \Rightarrow$   
 $\nabla^{2}E_{x} = -\beta^{2}E_{x}$   
 $\nabla^{2}E_{y} = -\beta^{2}E_{y}$   
 $\nabla^{2}E_{z} = -\beta^{2}E_{z}$   
 $\Rightarrow$  with  $E_{y} = E_{y}(x, y, z)$   
 $E_{z} = E_{z}(x, y, z)$ 

• As an **example the x-components of the electric filed** must satisfy the following:  $\nabla^{2}E_{x}(x, y, z) = -\beta^{2}E_{x}(x, y, z) \Rightarrow$   $\frac{\partial^{2}}{\partial x^{2}}E_{x}(x, y, z) + \frac{\partial^{2}}{\partial y^{2}}E_{x}(x, y, z) + \frac{\partial^{2}}{\partial z^{2}}E_{x}(x, y, z) = -\beta^{2}E_{x}(x, y, z)$ 

The differential equations for other components of the field are similar

## **Solutions to Wave Equation**

• To find the solutions for  $E_x$  we assume  $E_x(x, y, z) = f(x)g(y)h(z)$  and use the separation of variables technique to get  $1 d^2 f(x) = 1 d^2 g(x) - 1 d^2 g(x)$ 

$$\frac{1}{f}\frac{d^2f(x)}{dx^2} + \frac{1}{g}\frac{d^2g(y)}{dy^2} + \frac{1}{h}\frac{d^2h(z)}{dz^2} + \beta^2 = 0 \Rightarrow$$

$$\frac{d^2f(x)}{dx^2} = -\beta_x^2 f(x),$$

$$\frac{d^2g(y)}{dy^2} = -\beta_y^2 g(y),$$

$$\frac{d^2h(z)}{dz^2} = -\beta_z^2 h(z),$$
With  $\beta_x^2 + \beta_y^2 + \beta_z^2 = \beta^2 = \omega^2 \mu \varepsilon' = \frac{\omega^2}{c^2}n'^2$ , which sometime is called the **constraint** equation.

Solutions are

$$\frac{d^2 f(x)}{dx^2} = -\beta_x^2 f(x) \Leftrightarrow \qquad f_1(x) = A_1 e^{-j\beta_x x} + B_1 e^{+j\beta_x x}$$

$$f_2(x) = C_1 \cos(\beta_x x) + D_1 \sin(\beta_x x)$$

$$\frac{d^2 g(y)}{dy^2} = -\beta_y^2 g(y) \Leftrightarrow \qquad g_1(y) = A_2 e^{-j\beta_y y} + B_2 e^{+j\beta_y y}$$

$$g_2(y) = C_2 \cos(\beta_y y) + D_2 \sin(\beta_y y)$$

$$\frac{d^2 h(z)}{dz^2} = -\beta_z^2 h \Leftrightarrow \qquad h_1(z) = A_3 e^{-j\beta_z z} + B_3 e^{+j\beta_z z}$$

$$h_2(z) = C_3 \cos(\beta_z z) + D_3 \sin(\beta_z z)$$

- $e^{\pm j\beta_x x}$  are called traveling wave solutions
- $\cos(\beta_x x) \operatorname{orsin}(\beta_x x)$  are called standing wave solutions

• The type of **solution chosen** depends on the **problem and the boundary condition**.

• For example, for waves **confined in the** *x*-**and** *y*-**directions** and **traveling a long the** *z*-**direction** we have:

 $E_x(x, y, z) = f(x)g(y)h(z) = [C_1 \cos(\beta_x x) + D_1 \sin(\beta_x x)] \cdot [C_2 \cos(\beta_y y) + D_2 \sin(\beta_y y)] \cdot A_3 e^{-j\beta_z z} + B_3 e^{+j\beta_z z}$ 



•  $e^{-j\beta_z z}$  is the **positively traveling** wave and  $e^{+j\beta_z z}$  is the **negatively traveling** wave (for time dependency of  $e^{+j\omega t}$ )

• To see this note the following  $E = \operatorname{Re}\left[E_{x}(x, y, z)e^{j\omega t}\right] = \left[C_{1}\cos(\beta_{x}x) + D_{1}\sin(\beta_{x}x)\right] \cdot \left[C_{2}\cos(\beta_{y}y) + D_{2}\sin(\beta_{y}y)\right]A_{3}\cos(\omega t - \beta_{z}z)$ For our choice of  $e^{-j\beta_{z}z}e^{j\omega t}$ 

• Let's plot  $\cos(\omega t - \beta_z z)$  for different times



• To follow the point  $Z_p$  at different times we must keep  $A_3 \cos(\omega t - \beta_z Z_p)$  constant  $\Rightarrow$  We must keep the phase  $\omega t - \beta_z Z_p$  constant with time  $\Rightarrow$ 

$$\frac{d}{dt}\left(\omega t - \beta_z Z_p\right) = 0 \Longrightarrow \omega - \beta_z \frac{dZ_p}{dt} = 0 \Longrightarrow \frac{dZ_p}{dt} = \frac{\omega}{\beta_z} = V_p$$

• $V_p = \frac{\omega}{\beta_z}$  is called **phase velocity** 

## Solution to Wave Equation in Source Free but Lossy Medium

• Recall wave equation for lossy medium was given by  

$$\nabla^{2}\vec{E} = \left[-\omega^{2}\varepsilon'\mu + j\omega\mu\sigma_{e}\right]\vec{E} = \gamma^{2}\vec{E}$$
(1)  
where  $\gamma^{2} = -\omega^{2}\varepsilon'\mu + j\omega\mu\sigma_{e} = (\alpha + j\beta)^{2}$ 

• Once again Eq. (1)  $\Rightarrow$   $\nabla^2 E_x(x, y, z) \hat{a}_x + \nabla^2 E_y(x, y, z) \hat{a}_y + \nabla^2 E_z(x, y, z) =$  $\gamma^2 (E_x \hat{a}_x + E_y \hat{a}_y + E_z \hat{a}_z) \Rightarrow \nabla^2 E_x(x, y, z) = \gamma^2 E_x(x, y, z)$  and so forth for  $E_y$  and  $E_z$  • Once again we propose a solution of the form  $E_x(x, y, z) = f(x)g(y)h(z)$  and use separation of variables to show

$$\frac{d^2 f(x)}{dx^2} = +\gamma_x^2 f(x),$$
  

$$\frac{d^2 g(y)}{dy^2} = +\gamma_y^2 g(y),$$
  

$$\frac{d^2 h(z)}{dz^2} = +\gamma_z^2 h(z),$$
  
With  $\gamma_x^2 + \gamma_y^2 + \gamma_z^2 = \gamma^2$  constrained equation

• Then 
$$E_x(x, y, z) = f(x)g(y)h(z)$$
 is given by  
 $f_1(x) = A_1 e^{-\gamma_x x} + B_1 e^{\gamma_x x}$   
 $f_2(x) = C_1 \cosh(\gamma_x x) + D_1 \sinh(\gamma_x x)$   
 $g_1(y) = A_2 e^{-\gamma_y y} + B_2 e^{\gamma_y y}$   
 $g_2(y) = C_1 \cosh(\gamma_y y) + D_2 \sinh(\gamma_y y)$   
 $h_1(z) = A_3 e^{-\gamma_z z} + B_3 e^{\gamma_z z}$   
 $h_2(z) = C_3 \cosh(\gamma_z z) + D_3 \sinh(\gamma_z z)$ 

• Exponential functions represent **attenuated traveling waves** and hyperbolic cosine and sine represent **attenuated standing waves** 

- Choices for the sign of γ
- Recall we had  $\gamma^2 = (\alpha + j\beta)^2 \Rightarrow \gamma = \pm(\alpha + j\beta)$ . We could have equally defined  $\gamma^2 = (\alpha j\beta)^2 \Rightarrow \gamma = \pm(\alpha j\beta)$  then we have **four choices**:

 $\begin{array}{l} \gamma = \alpha + j\beta \\ \gamma = -\alpha - j\beta \\ \gamma = \alpha - j\beta \\ \gamma = -\alpha + j\beta \end{array}$  which one should we choose

 $\gamma_{z} = \alpha_{z} + j\beta_{z} \Longrightarrow e^{-\gamma_{z}z} = e^{-\alpha_{z}z}e^{-j\beta_{z}z}$  travels along +z-axis, decays along +z-axis  $\gamma_{z} = -\alpha_{z} - j\beta_{z} \Longrightarrow e^{-\gamma_{z}z} = e^{+\alpha_{z}z}e^{j\beta_{z}z}$  travels along -z-axis, decays along -z-axis  $\gamma_{z} = -\alpha_{z} + j\beta_{z} \Longrightarrow e^{-\gamma_{z}z} = e^{+\alpha_{z}z}e^{-j\beta_{z}z}$  travels along +z-axis, grows along +z-axis  $\gamma_{z} = \alpha_{z} - j\beta_{z} \Longrightarrow e^{-\gamma_{z}z} = e^{-\alpha_{z}z}e^{+j\beta_{z}z}$  travels along -z-axis, grows along -z-axis • For a **positively traveling wave** (+z-axis) in a **passive media** (media with no gain or external source of energy), we must have a **wave that decays as it moves further in the media**. Hence, the correct sign for a positively traveling wave in a passive media is

 $\gamma_z = \alpha_z + j\beta_z$  $e^{-\gamma_z z} = e^{-\alpha_z z} e^{-j\beta_z z}$ 

with our choice of time dependency of  $e^{+j\omega t}$ 

### Summary

- **Traveling waves**  $\frac{e^{-j\beta_z z}}{e^{+j\beta_z z}}$  for positive *z* traveling *e*<sup>+j\beta\_z z</sup> for negative *z* traveling
- Standing waves  $\frac{\cos(\beta_z z)}{\sin(\beta_x z)}$  for positive or negative z
- Evanescent waves  $e^{-\alpha_z z}$  for positive z $e^{\alpha_z z}$  for negative z
- Attenuated traveling waves  $e^{-\gamma_z z} = e^{-\alpha_z z} e^{-j\beta_z z} \text{ for positive } z \text{ traveling}$  $e^{\gamma_z z} = e^{\alpha_z z} e^{j\beta_z z} \text{ for negative } z \text{ traveling}$

• Attenuated standing waves  $\cos(\gamma_z z) = \cos(\alpha_z z) \cosh(\beta_z z) - j \sin(\alpha_z z) \sinh(\beta_z z)$  for positive and negative  $z \sin(\gamma_z z) = \sin(\alpha_z z) \cosh(\beta_z z) + j \cos(\alpha_z z) \sinh(\beta_z z)$  for positive and negative z

• Note that: 
$$\frac{\cos(\gamma_z z) = \cos(\alpha_z z + j\beta_z z) = \cos(\alpha_z z)\cos(j\beta_z z) - \sin(\alpha_z z)\sin(j\beta_z z)}{= \cos(\alpha_z z)\cosh(\beta_z z) - j\sin(\alpha_z z)\sinh(\beta_z z)}$$

## **Wave Equation in Cylindrical Coordinates**

• Previously we solved the wave equation  $\nabla^2 \vec{E} = -\beta^2 \vec{E}$  in rectangular coordinate system for lossless and source free region

• Suppose that **boundary condition (the geometrical consideration)** of the problem requires us to solve the wave equation in **cylindrical coordinates**. How do we go about this?

• In cylindrical coordinates  

$$\vec{E} = E_{\rho}(\rho, \phi, z)\hat{a}_{\rho} + E_{\phi}(\rho, \phi, z)\hat{a}_{\phi} + E_{z}(\rho, \phi, z)\hat{a}_{z}$$
• Then  $\nabla^{2}\vec{E} = -\beta^{2}\vec{E} \implies \nabla^{2}\left[E_{\rho}\hat{a}_{\rho} + E_{\phi}\hat{a}_{\phi} + E_{z}\hat{a}_{z}\right] = -\beta^{2}\left(E_{\rho}\hat{a}_{\rho} + E_{\phi}\hat{a}_{\phi} + E_{z}\hat{a}_{z}\right)$ 
• But  
 $\nabla^{2}\left(E_{\rho}\hat{a}_{\rho}\right) \neq \hat{a}_{\rho}\nabla^{2}E_{\rho}$  and  
 $\nabla^{2}\left(E_{\phi}\hat{a}_{\phi}\right) \neq \hat{a}_{\phi}\nabla^{2}E_{\phi}$   
while,

x

 $\nabla^2 (E_z \hat{a}_z) = \hat{a}_z \nabla^2 E_z$ 

.. . .

• Then how do we solve  $\nabla^2 \vec{E} = -\beta^2 \vec{E}$  for  $\vec{E} = E_{\rho} \hat{a}_{\rho} + E_{\phi} \hat{a}_{\phi} + E_z \hat{a}_z$ . In other words, what is  $\nabla^2 \vec{E}$ ?



• Note that  $\nabla^2 \vec{E} = -\beta^2 \vec{E}$  was obtained by using  $\nabla^2 \vec{E} = \nabla (\nabla \cdot \vec{E}) - \nabla \times \nabla \times \vec{E}$ 

• Using above in  $\nabla^2 \vec{E} = -\beta^2 \vec{E}$  we have  $\nabla (\nabla \cdot \vec{E}) - \nabla \times \nabla \times \vec{E} = -\beta^2 \vec{E}$  (Wave equation in lossless source free region) Where  $\beta = \omega \sqrt{\mu \varepsilon'} = \frac{\omega}{c} n'$  is a constant

 $\nabla \cdot \vec{E} = \frac{1}{\rho} \frac{\partial}{\partial \rho} (\rho E_p) + \frac{1}{\rho} \frac{\partial}{\partial \phi} E_{\phi} + \frac{\partial}{\partial z} E_z$ and

$$\nabla \psi (\rho, \phi, z) = \hat{a}_{\rho} \frac{\partial \psi}{\partial \rho} + \hat{a}_{\phi} \frac{1}{\rho} \frac{\partial \psi}{\partial \phi} + \hat{a}_{z} \frac{\partial \psi}{\partial z}$$

and

$$\nabla \times \vec{E} = \hat{a}_{\rho} \left[ \frac{1}{\rho} \frac{\partial E_{z}}{\partial \phi} - \frac{\partial E_{\phi}}{\partial z} \right] + \hat{a}_{\phi} \left[ \frac{\partial}{\partial z} E_{\rho} - \frac{\partial}{\partial \rho} E_{z} \right] + \hat{a}_{z} \left[ \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho E_{\phi} \right) - \frac{1}{\rho} \frac{\partial E_{\rho}}{\partial \phi} \right]$$

• The use of  $\nabla \cdot$ ,  $\nabla$  and  $\nabla \times$  in cylindrical coordinate in  $\nabla (\nabla \cdot \vec{E}) - \nabla \times \nabla \times \vec{E} = -\beta^2 \vec{E}$  will result in three partial differential equations:

$$\nabla^2 E_{\rho} + \left( -\frac{E_{\rho}}{\rho^2} - \frac{2}{\rho^2} \frac{\partial E_{\phi}}{\partial \phi} \right) = -\beta^2 E_{\rho}$$

$$\nabla^{2} E_{\phi} + \left( -\frac{E_{\phi}}{\rho^{2}} + \frac{2}{\rho^{2}} \frac{\partial E_{\rho}}{\partial \phi} \right) = -\beta^{2} E_{\phi}$$

$$\nabla^{2} E_{z} = -\beta^{2} E_{z}$$
where,
$$\nabla^{2} \psi = \frac{\partial^{2} \psi}{\partial \rho^{2}} + \frac{1}{\rho} \frac{\partial \psi}{\partial \rho} + \frac{1}{\rho^{2}} \frac{\partial^{2} \psi}{\partial \phi^{2}} + \frac{\partial^{2} \psi}{\partial z^{2}}$$
with  $\psi(\rho, \phi, z) \equiv E_{\rho}$ ,  $E_{\phi}$ , or  $E_{z}$ 

• Note that differential equations for  $E_{\rho}$  and  $E_{\phi}$  are coupled partial differential equations while the differential equation for  $E_z$  is not coupled

• The solutions of  $\nabla^2 E_z = -\beta^2 E_z$  are most useful in constructing TE<sup>z</sup> and TM<sup>z</sup> modes (TE and TM with respect to WRT z-direction) boundary value problems and will be considered here.

• From  $\nabla^2 E_z = -\beta^2 E_z$  and the expression for  $\nabla^2 \psi$  ( $\psi = E_z$ ) we have

$$\frac{\partial^2 \psi}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial \psi}{\partial \rho} + \frac{1}{\rho^2} \frac{\partial^2 \psi}{\partial \phi^2} + \frac{\partial^2 \psi}{\partial z^2} = -\beta^2 \psi \quad \text{where} \tag{1}$$
$$\psi = \psi(\rho, \phi, z) \tag{2}$$

• Let  $\psi(\rho, \phi, z) = f(\rho)g(\phi)h(z)$ . Substitute (2) in (1) and we have:

$$g(\phi)h(z)\frac{d^{2}}{d\rho^{2}}f(\rho) + \frac{g(\phi)h(z)}{\rho}\frac{d}{d\rho}f(\rho) + \frac{f(\rho)h(z)}{\rho^{2}}\frac{d^{2}g(\phi)}{d\phi^{2}} + f(\rho)g(\phi)\frac{d^{2}h(z)}{dz^{2}} = -\beta f(\rho)g(\phi)h(z)$$

• Divide both sides by *fgh* and we get:

$$\frac{1}{f(\rho)}\frac{d^2}{d\rho^2}f(\rho) + \frac{1}{\rho}\frac{df(\rho)}{d\rho} + \frac{1}{\rho^2 g(\phi)}\frac{d^2}{d\phi^2}g(\phi) + \frac{1}{h(z)}\frac{d^2}{dz^2}h(z) = -\beta^2$$
(4)  
Where  $\beta^2$  is a constant

Where  $\beta^2$  is a constant

• Since  $\frac{1}{h(z)}\frac{d^2}{dz^2}h(z)$ , which is only a function of z, added to other terms (which are

functions of  $\rho$  and  $\phi$ ) must equal to a constant  $(-\beta^2)$  for all values of z, we must have

$$\frac{1}{h(z)}\frac{d^2h(z)}{dz^2} = -\beta_z^2$$
, where  $\beta_z^2$  is another constant

• Then, Eq. (4) can be written as

$$\frac{\rho^2}{f(\rho)}\frac{d^2}{d\rho^2}f(\rho) + \frac{\rho}{f(\rho)}\frac{d}{d\rho}f(\rho) + \frac{1}{g(\phi)}\frac{d^2}{d\phi^2}g(\phi) + (\beta^2 - \beta_z^2)\rho^2 = 0$$

• Note that in the above,  $\frac{1}{g(\phi)} \frac{d^2 g(\phi)}{d\phi^2}$ , which is only a function of  $\phi$ , added to other terms must equal to a constant (0 here), then similar to the previous case we sety

 $\frac{1}{g(\phi)} \frac{d^2 g(\phi)}{d\phi^2} = -m^2$ , where  $m^2$  is a constant

• Let us also **define**  $\beta^2 - \beta_z^2 = \beta_\rho^2 \Leftrightarrow \beta_z^2 + \beta_\rho^2 = \beta^2$  (constraint equation for wave equation in cylindrical coordinates)

#### • using the constraint equation we see

$$\frac{\rho^2}{f(\rho)}\frac{d^2}{d\rho^2}f(\rho) + \frac{\rho}{f(\rho)}\frac{d}{d\rho}f(\rho) - m^2 + (\beta^2 - \beta_z^2)\rho^2 = 0 \implies \rho^2\frac{d^2f(\rho)}{d\rho^2} + \rho\frac{df(\rho)}{d\rho} - m^2f(\rho) + \beta_\rho^2\rho^2f(\rho) = 0$$

Where  $\beta_{\rho}^{2}$  and  $m^{2}$  are constant. Above is the classical Bessel Differential Equation.  $\rho^{2} \frac{d^{2} f(\rho)}{d\rho^{2}} + \rho \frac{df(\rho)}{d\rho} + (\beta_{\rho}^{2} \rho^{2} - m^{2})f(\rho) = 0$ 

#### Summary

• The solution to  $\nabla^2 \psi = -\beta^2 \psi$  where  $\psi(\rho, \phi, z) \equiv E_z(\rho, \phi, z)$  is given by  $\psi = f(\rho)g(\phi)h(z)$  where  $f(\rho)$ ,  $g(\phi)$ , and h(z) are themselves solutions to

$$\frac{1}{h(z)}\frac{d^2}{dz^2}h(z) = -\beta_z^2 \Leftrightarrow \frac{d^2h(z)}{dz^2} = -\beta_z^2h(z)$$
(1)

$$\frac{1}{g(\phi)}\frac{d^2}{d\phi^2}g(\phi) = -m^2 \Leftrightarrow \frac{d^2g(\phi)}{d\phi^2} = -m^2g(\phi)$$
(2)

$$\rho^2 \frac{df(\rho)}{d\rho^2} + \rho \frac{df(\rho)}{d\rho} + \left(\beta_{\rho}^2 \rho^2 - m^2\right) f(\rho) = 0$$
(3)

With constraint equation  $\beta_z^2 + \beta_\rho^2 = \beta^2 = \omega^2 \mu \varepsilon$ 

• Solutions to  $\frac{1}{h(z)} \frac{d^2}{dz^2} h(z) = -\beta_z^2 \Leftrightarrow \frac{d^2 h(z)}{dz^2} = -\beta_z^2 h(z)$  are given by Standing wave  $\leftarrow \frac{h_1(z) = A_1 \cos(\beta_z z) + B_1 \sin(\beta_z z)}{B_1 \sin(\beta_z z)}$ or Traveling wave  $\leftarrow \frac{h_2(z) = C_1 e^{-j\beta_z z} + D_1 e^{+j\beta_z z}}{D_1 e^{+j\beta_z z}}$ • Solution to  $\frac{1}{\sigma(\phi)} \frac{d^2}{d\tau^2} g(\phi) = -m^2 \Leftrightarrow \frac{d^2 g(\phi)}{d\phi^2} = -m^2 g(\phi)$  are given by Standing wave  $\leftarrow g_1(\phi) = A_2 \cos(m\phi) + B_2 \sin(m\phi)$ Traveling wave  $\leftarrow \frac{g_2(\phi) = C_2 e^{-jm\phi} + D_2 e^{+jm\phi}}{2}$ • Solution to  $\rho^2 \frac{df(\rho)}{d\rho^2} + \rho \frac{df(\rho)}{d\rho} + (\beta_{\rho}^2 \rho^2 - m^2)f(\rho) = 0$  (Bessel Diff. Eq.) is given by **Traveling wave**  $\leftarrow f_1(\rho) = A_3 H_m^{(1)}(\beta_o \rho) + B_3 H_m^{(2)}(\beta_o \rho)$ or Standing wave  $\leftarrow f_2(\rho) = C_3 J_m(\beta_o \rho) + D_3 Y_m(\beta_o \rho)$  $H_m^{(1)}(\beta_\rho \rho) =$  Hankel function of the first kind  $H_m^{(2)}(\beta_\rho \rho) =$  Hankel function of the second kind  $J_m(\beta_o \rho) \equiv$  Bessel function of the first kind  $Y_m(\beta_o \rho) =$  Bessel function of the second kind ρ ø • The functions  $e^{\pm j \cdots}$ ,  $\cos(\cdots)$ ,  $\sin(\cdots)$ ,  $J_m$ ,  $Y_m$ ,  $H_m^{(1)}$ ,  $H_m^{(2)}$  are all valid solutions. Which one is used in a given problem,

depends on the problems at hand (particularly the boundary conditions).

x

• As an example consider a metallic cylindrical waveguide. The solution inside of the guide,  $0 \le \rho < a$  is given by:

$$\psi_{in}(\rho,\phi,z) = f(\rho)g(\phi)h(z)$$
  
=  $[C_3J_m(\beta_\rho\rho) + D_3Y_m(\beta_\rho\rho)] \cdot [A_2\cos(m\phi) + B_2\sin(m\phi)] \cdot [C_1e^{-j\beta_z z} + D_1e^{+j\beta_z z}]$ 

• Note that **inside the guide** the **solution in**  $\rho$  **must be standing waves**, the solution in  $\phi$  must be periodic, and solution in z must be traveling waves.

• Furthermore, since  $Y_m(\beta_o \rho)$  is singular at  $\rho = 0$ , then  $D_3 = 0 \Rightarrow$ 

$$\psi_{in} = C_3 J_m (\beta_{\rho} \rho) [A_2 \cos(m\phi) + B_2 \sin(m\phi)] [C_1 e^{-j\beta_z z} + D_1 e^{+j\beta_z z}]$$

• The field outside of the guide ( $\rho > a$ ) must be traveling in both z and  $\rho$  and be periodic in  $\phi$ , then

 $\psi_{\text{out}}(\rho,\phi,z) = B_3 H_m^{(2)}(\beta_\rho \rho) [A_2 \cos(m\phi) + B_2 \sin(m\phi)] [C_1 e^{-j\beta_z z} + D_1 e^{j\beta_z z}]$ Where  $H_m^{(2)}(\beta_\rho \rho)$  is **positively traveling wave** 

• Note the following relations for Hankel functions of the first and second kind.

$$H_{m}^{(1)}(\beta_{\rho}\rho) = \sqrt{\frac{2}{\pi\beta_{\rho}\rho}} e^{j\left[\frac{\beta_{\rho}\rho - m\left(\frac{\pi}{2}\right) - \frac{\pi}{4}\right]}{\beta_{\rho}\rho \to \infty}}$$
$$H_{m}^{(2)}(\beta_{\rho}\rho) = \sqrt{\frac{2}{\pi\beta_{\rho}\rho}} e^{-j\left[\frac{\beta_{\rho}\rho - m\left(\frac{\pi}{2}\right) - \frac{\pi}{4}\right]}{\beta_{\rho}\rho \to \infty}}$$

# Fields, Modes, TEM, Plane wave and Uniform plane waves

• Field is a modification of space-time coordinates

• Mode is a particular field configuration for a given boundary value problem. Many field configurations (modes) may satisfy the Maxwell equations (wave equation). These usually are referred to as the modes.

• In TEM mode,  $\vec{E}$  and  $\vec{H}$  at every point in space are constrained in a local plane, independent of time. This plane is called equiphase Plane. In general equiphase planes are not parallel at two different points along the trajectory of the wave



Phase Front of TEM wave

• If equiphase planes are parallel (i.e. the space orientation of the planes for TEM mode are the same), then we say we have a plane wave. In other words, the equiphase surfaces are parallel planar surfaces.

• If in addition to parallel planar equiphase surfaces, the field has Equiamplitude planar surfaces (the amplitude is the same over each plane), we say we have a uniform plane wave. In this case field is not a function of the coordinates that make up equiamplitude and equiphase plane



- We mentioned wave trajectory, what do we mean by wave trajectory
- Consider the following plane wave:  $\vec{E} = \vec{E}_0 e^{-j\vec{k}\cdot\vec{r}+j\omega t}$  when  $\vec{E}_0$  is a constant and  $\vec{k} = \vec{\beta}$

• Since  $\nabla \cdot \vec{D} = 0$  for source free region  $\Rightarrow \nabla \cdot \vec{E} = 0$  then  $\nabla \cdot \vec{E} = \nabla \cdot \left(\vec{E}_0 e^{-j\vec{k}\cdot\vec{r}+j\omega t}\right) = 0$ Recall  $\nabla \cdot \left(f\vec{F}\right) = f\nabla \cdot \vec{F} + \vec{F} \cdot \nabla f$ Then  $\nabla \cdot \vec{E} = e^{-j\vec{k}\cdot\vec{r}+j\omega t} \nabla \cdot \vec{E}_0 + \vec{E}_0 \cdot \nabla \left[e^{-j\vec{k}\cdot\vec{r}+j\omega t}\right] = 0$ , but  $\nabla \cdot \vec{E}_0 = 0$  $-j\vec{k} \cdot \vec{E}_0 e^{-j\vec{k}\cdot\vec{r}+j\omega t} = 0 \Rightarrow \vec{k} \cdot \vec{E} = 0$ 

• Using  $\nabla \cdot \vec{H} = 0$  we can also show  $\vec{k} \cdot \vec{H} = 0$ 

• It can also be shown (HW)  $\vec{k} \times \vec{E} = \omega \mu \vec{H}$  and  $\vec{k} \times \vec{H} = -\varepsilon \omega \vec{E}$ 



• Let's assume there are situations for which  $\varepsilon$  and  $\mu$  are both negative  $\varepsilon \rightarrow -|\varepsilon|$  and  $\mu \rightarrow -|\mu|$  then  $\vec{k} \cdot \vec{E} = 0$   $\vec{k} \cdot \vec{H} = 0$   $\vec{k} \times \vec{E} = -\omega |\mu| \vec{H}$   $\vec{k} \times \vec{H} = +|\varepsilon| \omega \vec{E}$   $\langle \vec{s} \rangle \propto \vec{E} \times \vec{H}$   $\vec{H}$   $\vec{H}$   $\vec{K} \rightarrow \vec{S}$   $\vec{H}$  $\vec{K} \rightarrow \vec{S}$ 

# Relation between $\vec{E}$ and $\vec{H}$ for plane waves

• From 
$$\vec{k} \times \vec{E} = \omega \mu \vec{H} \Longrightarrow k \ \hat{a}_k \times \vec{E} = \omega \mu \vec{H} \Longrightarrow \vec{H} = \frac{k}{\omega \mu} \hat{a}_k \times \vec{E}$$

where  $\hat{a}_k$  is the **unit vector along**  $\vec{k}$ .

• With  $k = \frac{\omega}{c}n = \omega\sqrt{\mu_0\varepsilon_0}\sqrt{\mu_r}\sqrt{\varepsilon_r}$  Expression for  $\vec{H}$  can be written as  $\vec{H} = \frac{\omega\sqrt{\mu_0\varepsilon_0}\sqrt{\mu_r\varepsilon_r}}{\omega\mu_r\mu_0}\hat{a}_k \times \vec{E} \Rightarrow \vec{H} = \frac{\sqrt{\varepsilon_0\varepsilon_r}}{\sqrt{\mu_0\mu_r}}\hat{a}_k \times \vec{E} = \frac{\hat{a}_k \times \vec{E}}{\sqrt{\mu/\varepsilon}} = \frac{\hat{a}_k \times \vec{E}}{\eta}$  where  $\eta = \sqrt{\mu/\varepsilon}$  is the medium intrinsic impedance and we can define  $\eta_0 = \sqrt{\mu_0/\varepsilon_0} = 120\pi = 377 [\Omega]$  as the free space intrinsic impedance.

• Similar expression for  $\vec{E}$  in terms of  $\vec{H}$  can be found to be  $\vec{E} = -\eta \ \hat{a}_k \times \vec{H}$ 



## **Fresnel Reflection & Transmission Coefficients**

• The case of  $\vec{E}$  **Perpendicular Polarization:** 



of incidence) or TE (electric field is transverse to the propagation direction) or  $\sigma$  polarization

• 
$$\vec{E}_i = E_0 e^{-j\vec{k}_1 \cdot \vec{r}} e^{+j\omega t} \hat{a}_y$$
 where  $\vec{k}_1 = k_{1x} \hat{a}_x + k_{1z} \hat{a}_z$  with  
 $k_{1x} = k_1 \sin \theta_1 = \frac{\omega}{c} n_1 \sin \theta_1$  and  $k_{1z} = k_1 \cos \theta_1 = \frac{\omega}{c} n_1 \cos \theta_1$  and  $k_1 = \frac{\omega}{c} n_1 = \frac{\omega}{c} \sqrt{\mu_1 \mathcal{E}_1}$ .  
Then  $\vec{k}_1 = k_1 (\sin \theta_1 \hat{a}_x + \cos \theta_1 \hat{a}_z)$  and we have  $\vec{E}_i = E_0 e^{-jk_1 (\sin \theta_1 x + \cos \theta_1 z)} e^{j\omega t} \hat{a}_y$ 

• Note also that

$$k_{1x}^{2} + k_{1z}^{2} = k_{1}^{2} \Longrightarrow k_{1z} = \sqrt{k_{1}^{2} - k_{1x}^{2}} = \sqrt{\frac{\omega^{2}}{c^{2}}} n_{1}^{2} - \frac{\omega^{2}}{c^{2}} n_{1}^{2} \sin \theta_{1}^{2} = \frac{\omega}{c} n_{1} \sqrt{1 - \sin^{2} \theta_{1}} = \frac{\omega}{c} n_{1} \cos \theta_{1}$$

• From 
$$\vec{H}_i = \frac{\hat{a}_{ki} \times \vec{E}_i}{\eta_1}$$
 we have  $\vec{H}_i = \frac{E_0}{\eta_1} \left( -\hat{a}_x \cos \theta_1 + \sin \theta_1 \hat{a}_z \right) e^{-jk_1 (\sin \theta_1 x + \cos \theta_1 z)} e^{j\omega t}$ 

#### • For Reflected wave we have

$$\vec{E}_{r} = rE_{0}e^{-jk_{1}'\vec{r}}e^{j\omega t}\hat{a}_{y} \text{ with } |\vec{k}_{1}'| = k_{1}' = \frac{\omega}{c}\sqrt{\mu_{1}\varepsilon_{1}} = \frac{\omega}{c}n = |\vec{k}_{1}| = k_{1} \Rightarrow k_{1}' = k_{1}$$

$$k_{1}' = -k_{1}'\cos\theta_{1}'\hat{a}_{z} + k_{1}'\sin\theta_{1}'\hat{a}_{x} \text{ since } k_{1}' = k_{1} \text{ then } k_{1}' = -k_{1}\cos\theta_{1}'\hat{a}_{z} + k_{1}\sin\theta_{1}'\hat{a}_{x}$$
The reflected  $\vec{E}$  and  $\vec{H}$  are then
$$\vec{E}_{r} = rE_{0} \exp\left[-jk_{1}\left(\sin\theta_{1}'x - \cos\theta_{1}'z\right)\right]\exp\left[j\omega t\right]\hat{a}_{y}$$

$$\vec{H}_{r} = \frac{rE_{0}}{\eta_{1}}\left[\cos\theta_{1}'\hat{a}_{x} + \sin\theta_{1}'\hat{a}_{z}\right]\exp\left[-jk_{1}\left(\sin\theta_{1}'x - \cos\theta_{1}'z\right)\right]\exp\left[j\omega t\right]$$



• We now apply the B.C. at xy plane and z = 0, requiring tangential  $\vec{E}$  and  $\vec{H}$  to be continuous (two good dielectric)

$$(E_i + E_r)_{\text{tangential}} = (E_t)_{\text{tangential}} (H_i + H_r)_{\text{tangential}} = (H_t)_{\text{tangential}}$$

• Note that **tangential components are along** x and y  $E_0 e^{-jk_1 \sin \theta_1 x} + r E_0 e^{-jk_1 \sin \theta_1' x} = t E_0 e^{-jk_2 \sin \theta_2 x}$   $-\frac{E_0}{\eta_1} \cos \theta_1 e^{-jk_1 \sin \theta_1 x} + \frac{r E_0}{\eta_1} \cos \theta_1' e^{-jk_1 \sin \theta_1' x} = -\frac{t E_0}{\eta_2} \cos \theta_2 e^{-jk_2 \sin \theta_2 x}$  • The above is a set of 4 equations and 4 unknowns  $(\theta_1', \theta_2, r, t)$ . It can be reduced to 2 equations and 2 unknown. Once this is done we have

$$\theta_1 = \theta_1'$$
  
$$k_1 \sin \theta_1 = k_2 \sin \theta_2$$

•  $\theta_1 = \theta_2$  is the first Snell's Law of Refraction (i.e. the incident & reflected angles are equal)

#### Second Snell's Law of Refraction

$$k_1 \sin \theta_1 = k_2 \sin \theta_2 \Leftrightarrow k_{1x} = k_{2x} \Leftrightarrow \frac{\omega}{c} n_1 \sin \theta_1 = \frac{\omega}{c} n_2 \sin \theta_2 \Rightarrow n_1 \sin \theta_1 = n_2 \sin \theta_2$$

This says that **tangential component of the propagation vector across the interface is continuous.** 



• Using 
$$\eta = \sqrt{\mu/\varepsilon}$$
 and multiplying top and bottom by  $\sqrt{\varepsilon_1 \varepsilon_2 \mu_1 \mu_2}$  we have  

$$r = \frac{\sqrt{\mu_2/\varepsilon_2} \cos \theta_1 - \sqrt{\mu_1/\varepsilon_1} \cos \theta_2}{\sqrt{\mu_2/\varepsilon_2} \cos \theta_1 + \sqrt{\mu_1/\varepsilon_1} \cos \theta_2} = \frac{\sqrt{\varepsilon_1 \mu_1} \mu_2 \cos \theta_1 - \sqrt{\mu_2 \varepsilon_2} \mu_1 \cos \theta_2}{\sqrt{\varepsilon_1 \mu_1} \mu_2 \cos \theta_1 + \sqrt{\mu_2 \varepsilon_2} \mu_1 \cos \theta_2}$$

$$= \frac{\frac{\omega}{c} \sqrt{\varepsilon_1 \mu_1} \mu_2 \cos \theta_1 - \frac{\omega}{c} \sqrt{\varepsilon_2 \mu_2} \mu_1 \cos \theta_2}{\frac{\omega}{c} \sqrt{\varepsilon_1 \mu_1} \mu_2 \cos \theta_1 + \frac{\omega}{c} \sqrt{\varepsilon_2 \mu_2} \mu_1 \cos \theta_2}$$

Recall

$$k_{1z} = k_1 \cos \theta_1 = \frac{\omega}{c} n_1 \cos \theta_1 = \frac{\omega}{c} \sqrt{\mu_1 \varepsilon_1} \cos \theta_1$$
$$k_{2z} = k_2 \cos \theta_2 = \frac{\omega}{c} n_2 \cos \theta_2 = \frac{\omega}{c} \sqrt{\mu_2 \varepsilon_2} \cos \theta_2$$

• Hence  

$$r = \frac{\mu_2 k_{1z} - \mu_1 k_{2z}}{\mu_2 k_{1z} + \mu_1 k_{2z}}$$
(1)  
and similarly  

$$t = \frac{2\mu_2 k_{1z}}{\mu_2 k_{1z} + \mu_1 k_{2z}}$$
(2)

• Note that (1) and (2) are reflection and transmission coefficient (Fresnel field coefficients) for TE or  $\vec{E}_{\perp}$  polarization.

#### **Two Interface Problem**

• We consider TE or  $\vec{E}$  perpendicular polarization. The Fresnel reflection coefficients at each interface can be written as:

$$r_{12} = \frac{\mu_2 k_{1z} - \mu_1 k_{2z}}{\mu_2 k_{1z} + \mu_1 k_{2z}}$$

$$t_{12} = \frac{2\mu_2 k_{1z}}{\mu_2 k_{1z} + \mu_1 k_{2z}}$$

$$r_{23} = \frac{\mu_3 k_{2z} - \mu_2 k_{3z}}{\mu_3 k_{2z} + \mu_2 k_{3z}} \qquad \begin{array}{c} 1 \to 2 \\ 2 \to 3 \end{array}$$

$$r_{21} = \frac{\mu_1 k_{2z} - \mu_2 k_{1z}}{\mu_1 k_{2z} + \mu_2 k_{1z}} = -r_{12} \qquad \begin{array}{c} 1 \to 2 \\ 2 \to 1 \end{array}$$

$$t_{23} = \frac{2\mu_3 k_{2z}}{\mu_3 k_{2z} + \mu_2 k_{3z}} \qquad \begin{array}{c} 1 \to 2 \\ 2 \to 3 \end{array}$$

• At 
$$z = 0$$
  
(1)  $rA = r_{12}A + t_{21}D$   
(2)  $C = t_{12}A + r_{21}D$ 

• At z = d (slab thickness is d) (3)  $At = t_{23}Ce^{-jk_{2z}d}$ (4)  $De^{jk_{2z}d} = r_{23}Ce^{-jk_{2z}d} \Rightarrow$ (5)  $D = r_{23}Ce^{-2jk_{2z}d} = r_{23}Ce^{+2j\phi}$  where (6)  $\phi = -k_{2z}d = -\frac{\omega}{c}n_2\cos\theta_2d$ 



• Use (5) in (2) then

(1) 
$$C = t_{12}A + r_{21}r_{23}Ce^{+2j\phi} \Longrightarrow$$
  
(2)  $C = \frac{t_{12}}{1 - r_{21}r_{23}e^{+2j\phi}}A$ 

• Using (2) in  $At = t_{23}Ce^{-jk_{22}d} = t_{23}Ce^{j\phi}$  (Eq. 3-page 55), we have

$$At = t_{23}e^{+j\phi}\frac{t_{12}}{1 - r_{21}r_{23}e^{+2j\phi}}A \implies t^{\text{TE}} = t = \frac{t_{12}t_{23}e^{+j\phi}}{1 - r_{21}r_{23}e^{+2j\phi}}$$
(1)

• In a similar manner (HW) we can show

$$r^{\rm TE} = r = r_{12} + \frac{t_{12}t_{21}r_{23}e^{+2j\phi}}{1 - r_{21}r_{23}e^{+2j\phi}}$$
(2)

• Note if medium 1 and 3 are the same

then 
$$r_{21} = r_{23} = \frac{\mu_1 k_{2z} - \mu_2 k_{1z}}{\mu_1 k_{2z} + \mu_2 k_{1z}} = -r_{12}$$
 and  
 $t_{23} = t_{21} = \frac{2\mu_1 k_{2z}}{\mu_1 k_{2z} + \mu_2 k_{1z}}$ .  
Then (1) and (2) can be written as

Then (1) and (2) can be written as

Then 
$$t^{\text{TE}} = \frac{t_{12}t_{21}e^{+j\phi}}{1 - (r_{21})^2 e^{+2j\phi}}$$
  
 $r^{\text{TE}} = r_{12} + \frac{t_{12}t_{21}r_{21}e^{+2j\phi}}{1 - (r_{21})^2 e^{+2j\phi}}$   
 $\phi = -k_{2z}d = -\frac{\omega}{c}n_2\cos\theta_2d$ 

• From the expression for  $r^{\text{TE}}$  we see that if  $r_{12} = r_{21} = 0$ , then  $r^{\text{TE}} = 0$ ; i.e. there is no reflection from the slab. This is called the matched condition.

• Recall 
$$r_{21} = \frac{\mu_1 k_{2z} - \mu_2 k_{1z}}{\mu_1 k_{2z} + \mu_2 k_{1z}} = -r_{12}$$
, then  $r_{12} = r_{21} = 0$  if  
 $\mu_1 k_{2z} = \mu_2 k_{1z} \Longrightarrow \mu_1 \frac{\omega}{c} n_2 \cos \theta_2 = \mu_2 \frac{\omega}{c} n_1 \cos \theta_1 \Longrightarrow$   
 $\mu_1 \sqrt{\mu_2 \varepsilon_2} \cos \theta_2 = \mu_2 \sqrt{\mu_1 \varepsilon_1} \cos \theta_1 \Longrightarrow \eta_1 \cos \theta_2 = \eta_2 \cos \theta_1$ 

• What happens to  $t^{\text{TE}}$  (transmission coefficient) under matched condition.



• Note that with  $r_{12} = r_{21} = 0 \Rightarrow t^{\text{TE}} = \frac{t_{12}t_{21}e^{+j\phi}}{1 - (r_{21})^2e^{+2j\phi}} = t_{12}t_{21}e^{+j\phi}$ . Recall that

 $t_{12} = \frac{2\mu_2 k_{1z}}{\mu_2 k_{1z} + \mu_1 k_{2z}}$  and  $t_{21} = \frac{2\mu_1 k_{2z}}{\mu_1 k_{2z} + \mu_2 k_{1z}}$ . Hence under matched condition ( $\mu_1 k_{2z} = \mu_2 k_{1z}$ ) we have  $t_{12} = t_{21} = 1$ , which then implies  $t^{\text{TE}} = t_{12} t_{21} e^{+j\phi} = e^{+j\phi} = e^{-jk_{2z}d}$  and  $r^{\text{TE}} = 0$ . This says that under matched condition the slab only inserts a phase on the traveling wave.

• At normal incidence  $\theta_1 = \theta_2 = 0$ , the matching condition (no reflection from the slab) given by  $\eta_1 \cos \theta_2 = \eta_2 \cos \theta_1$  will simplify to  $\eta_1 = \eta_2$ .

• Note that **under matched condition with**  $t^{\text{TE}} = e^{+j\phi} = e^{-jk_{2z}d}$  we can write  $-\frac{\partial\phi}{\partial\omega} = \frac{\partial}{\partial\omega} [k_{2z}d] = d\frac{\partial k_{2z}}{\partial\omega} = \frac{d}{\partial\omega/\partial k_{2z}} = \frac{d}{v_g} \Rightarrow v_g = \frac{d}{-\partial\phi/\partial\omega}$ , where we will later see

 $-\partial \phi / \partial \omega$  is called the group delay.

# • Final Remarks: you should study (self study) topics such as critical and Brewster angles.